

General Assembly

Substitute Bill No. 996

January Session, 2005

_____SB00996AGEPH_031505_____

AN ACT CONCERNING NURSING HOME STAFFING LEVELS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- Section 1. Section 19a-522 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2005*):
- 3 (a) The commissioner shall adopt regulations, in accordance with 4 chapter 54, concerning the health, safety and welfare of patients in 5 nursing home facilities, classification of violations relating to such 6 facilities, medical staff qualifications, record-keeping, nursing service, dietary service, personnel qualifications and general operational 8 conditions. The regulations shall: (1) Assure that each patient admitted 9 to a nursing home facility is protected by adequate immunization 10 against influenza and pneumococcal disease in accordance with the 11 recommendations of the National Advisory Committee 12 Immunization Practices, established by the Secretary of Health and 13 Human Services; (2) specify that each patient be protected annually 14 against influenza and be vaccinated against pneumonia in accordance with the recommendations of the National Advisory Committee on 15 16 Immunization; and (3) provide appropriate exemptions for patients for 17 whom such immunizations are medically contraindicated and for 18 patients who object to such immunization on religious grounds.
 - (b) (1) As used in this subsection, "direct care" means hands-on-care provided to residents of nursing home facilities, including, but not

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- 21 limited to, feeding, bathing, toileting, dressing, lifting and moving
- 22 such residents, but does not include food preparation, housekeeping or
- 23 laundry services, except when such services are required to meet the
- 24 needs of any such resident on an individual situational basis. Direct
- 25 care shall not include care provided by paid feeding assistants, as
- 26 defined in 42 CFR 488.301.
- 27 (2) The Department of Public Health may, in accordance with the
- 28 provisions of section 19a-494, take disciplinary action against a nursing
- 29 home facility that without just cause, has substantially failed to comply
- with the direct care provider levels prescribed in this section. The 30
- department may, in accordance with the provisions of section 19a-494, 31
- 32 revoke or suspend the license of a nursing home facility if the
- 33 department determines that such facility has engaged in a continuous
- 34 pattern of failing to comply with the direct care provider staffing levels
- prescribed in this section. 35
- 36 (3) (A) For the period from January 1, 2006, to December 31, 2006,
- 37 each nursing home facility shall maintain direct care provider staffing
- levels at or above the following levels: 38
- 39 (i) During the day shift, one full-time employee for each ten
- residents; 40
- 41 (ii) During the evening shift, one full-time employee for each fifteen
- 42 residents; and
- 43 (iii) During the night shift, one full-time employee for each twenty
- 44 residents.
- 45 (B) For the period from January 1, 2007, to December 31, 2007, each
- nursing home facility shall maintain direct care provider staffing levels 46
- 47 at or above the following levels:
- 48 (i) During the day shift, one full-time employee for each seven
- 49 residents;
- 50 (ii) During the evening shift, one full-time employee for each twelve

- 51 residents; and
- 52 (iii) During the night shift, one full-time employee for each 53 seventeen residents.
- 54 (4) The direct care provider staff levels specified in subdivision (3) 55 of this subsection prescribe minimum direct care provider staffing 56 levels for a nursing home facility. Where an acuity system approved by the Department of Public Health and adopted by a nursing home 57 58 facility indicates that additional direct care provider staff is required, 59 the nursing home facility shall staff at the higher staffing level. Not later than January 1, 2007, the Department of Public Health shall 60 61 report, in accordance with section 11-4a of the general statutes, on the 62 effectiveness of the minimum staffing levels prescribed in subdivision 63 (3) of this subsection and shall offer recommended changes to such 64 minimum staffing levels to the joint standing committees of the General Assembly having cognizance of matters relating to public 65 health and human services and the select committee on aging. The 66 67 department shall also report on the use of acuity systems as a basis for determining minimum staffing levels. 68
 - (5) Any licensed nursing home facility that fails to comply with the minimum staffing requirements of subdivision (3) of this subsection on any day shall submit a report to the department, identifying the day and the shift during which such noncompliance occurred and specifying the reasons for and circumstances surrounding such noncompliance. The report required by this subdivision shall be submitted on a quarterly basis. If such facility fails to submit the report required by this subdivision or intentionally misrepresents the information contained in any such report, or if the commissioner determines that there is sufficient evidence to support a finding that there exists a pattern of noncompliance by such facility with the minimum staffing requirements of subdivision (3) of this subsection, the commissioner may take action against such facility in accordance with sections 19a-524 to 19a-528, inclusive.

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[(b)] (c) Nursing home facilities may not charge the family or estate of a deceased self-pay patient beyond the date on which such patient dies. Nursing home facilities shall reimburse the estate of a deceased self-pay patient, within sixty days after the death of such patient, for any advance payments made by or on behalf of the patient covering any period beyond the date of death. Interest, in accordance with subsection (a) of section 37-1, on such reimbursement shall begin to accrue from the date of such patient's death.

- (d) The Commissioner of Public Health shall adopt regulations, in accordance with the provisions of chapter 54, to specify the policies and procedures that the department will utilize to approve the acuity system of a nursing home facility.
- 95 Sec. 2. Section 17b-340 of the general statutes is amended by adding subsection (j) as follows (*Effective January 1, 2006*):
 - (NEW) (j) Notwithstanding the provisions of this section, the Commissioner of Social Services shall reimburse nursing home facilities for the actual, allowable costs for direct care and indirect care at ninety-five per cent of actual allowable costs. For nursing home facilities in which Medicaid accounts for more than ninety per cent of patient days, the commissioner shall reimburse such facilities for an additional five per cent of allowable costs, excluding property and capital.

This act shall take effect as follows and shall amend the following sections:		
Section 1	July 1, 2005	19a-522
Sec. 2	Ianuary 1, 2006	17b-340

AGE Joint Favorable Subst. C/R

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